# Approximating minimum power edge-multi-covers

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Abstract. Given a graph with edge costs, the *power* of a node is the maximum cost of an edge incident to it, and the power of a graph is the sum of the powers of its nodes. Motivated by applications in wireless networks, we consider the following fundamental problem in wireless network design. Given a graph G = (V, E) with edge costs and degree bounds  $\{r(v) : v \in V\}$ , the Minimum-Power Edge-Multi-Cover (MPEMC) problem is to find a minimum-power subgraph J of G such that the degree of every node v in J is at least r(v). Let  $k = \max_{v \in V} r(v)$ . For  $k = \Omega(\log n)$ , the previous best approximation ratio for MPEMC was  $O(\log n)$ , even for uniform costs [3]. Our main result improves this ratio to  $O(\log k)$  for general costs, and to O(1) for uniform costs. This also implies ratios  $O(\log k)$  for the Minimum-Power k-Outconnected Subgraph and  $O\left(\log k \log \frac{n}{n-k}\right)$  for the Minimum-Power k-Connected Subgraph problems; the latter is the currently best known ratio for the mincost version of the problem. In addition, for small values of k, we improve the previously best ratio k + 1 to k + 1/2.

# 1 Introduction

#### 1.1 Motivation and problems considered

Wireless networks are studied extensively due to their wide applications. The power consumption of a station determines its transmission range, and thus also the stations it can send messages to; the power typically increases at least quadratically in the transmission range. Assigning power levels to the stations (nodes) determines the resulting communication network. Conversely, given a communication network, the power required at v only depends on the farthest node reached directly by v. This is in contrast with wired networks, in which every pair of stations that communicate directly incurs a cost. An important network property is fault-tolerance, which is often measured by minimum degree or node-connectivity of the network. Node-connectivity is much more central here than edge-connectivity, as it models stations failures. Such power minimization problems were vastly studied; see for example [1, 2, 5, 8, 9] and the references therein for a small sample of papers in this area. The first problem we consider is finding a low power network with specified lower degree bounds. The second problem is the Min-Power k-Connected Subgraph problem. We give approximation algorithms for these problems, improving the previously best known ratios.

**Definition 1.** Let (V, J) be a graph with edge-costs  $\{c(e) : e \in J\}$ . For a node  $v \in V$  let  $\delta_J(v)$  denote the set of edges incident to v in J. The power  $p_J(v)$  of v is the maximum cost of an edge in J incident to v, or 0 if v is an isolated node of J; i.e.,  $p_J(v) = \max_{e \in \delta_J(v)} c(e)$  if  $\delta_J(v) \neq \emptyset$ , and  $p_J(v) = 0$  otherwise. For  $V' \subseteq V$  the power of V' w.r.t. J is the sum  $p_J(V') = \sum_{v \in V'} p_J(v)$  of the powers of the nodes in V'.

Unless stated otherwise, all graphs are assumed to be undirected and simple. Let n = |V|. Given a graph G = (V, E) with edge-costs  $\{c(e) : e \in E\}$ , we seek to find a low power subgraph (V, J) of G that satisfies some prescribed property. One of the most fundamental problems in Combinatorial Optimization is finding a minimum-cost subgraph that obeys specified degree constraints (sometimes called also "matching problems") c.f. [10]. Another fundamental property is fault-tolerance (connectivity). In fact, these problems are related, and we use our algorithm for the former as a tool for approximating the latter.

**Definition 2.** Given degree bounds  $r = \{r(v) : v \in V\}$ , we say that an edge-set J on V is an r-edge cover if  $d_J(v) \ge r(v)$  for every  $v \in V$ , where  $d_J(v) = |\delta_J(v)|$  is the degree of v in the graph (V, J).

#### Minimum-Power Edge-Multi-Cover (MPEMC):

Instance: A graph G = (V, E) with edge-costs  $\{c(e) : e \in E\}$ , degree bounds  $r = \{r(v) : v \in V\}.$ 

*Objective:* Find a minimum power r-edge cover  $J \subseteq E$ .

Given an instance of MPEMC, let  $k = \max_{v \in V} r(v)$  denote the maximum requirement.

We now define our connectivity problems. A graph is k-outconnected from s if it contains k internally-disjoint sv-paths for all  $v \in V \setminus \{s\}$ . A graph is k-connected if it is k-outconnected from every node, namely, if it contains k internally-disjoint uv-paths for all  $u, v \in V$ .

### Minimum-Power *k*-Outonnected Subgraph (MP*k*OS):

Instance: A graph G = (V, E) with edge-costs  $\{c(e) : e \in E\}$ , a root  $s \in V$ , and an integer k.

Objective: Find a minimum-power k-outconnected from s spanning subgraph J of G.

Minimum-Power *k*-Connected Subgraph (MP*k*CS):

Instance: A graph G = (V, E) with edge-costs  $\{c(e) : e \in E\}$  and an integer k. Objective: Find a minimum-power k-connected spanning subgraph J of G.

### 1.2 Our Results

For large values of  $k = \Omega(\log n)$ , the previous best approximation ratio for MPEMC was  $O(\log n)$ , even for uniform costs [3]. Our main result improves this ratio to  $O(\log k)$  for general costs, and to O(1) for uniform costs.

**Theorem 1.** MPEMC admits an  $O(\log k)$ -approximation algorithm. For uniform costs, MPEMC admits a randomized approximation algorithm with expected approximation ratio  $\rho < 2.16851$ , where  $\rho$  is the real root of the qubic equation  $e(\rho - 1)^3 = 2\rho$ .

For small values of k, the problem admits also the ratios k + 1 for arbitrary k [2], while for k = 1 the best known ratio is k + 1/2 = 3/2 [4]. Our second result extends the latter ratio to arbitrary k.

### **Theorem 2.** MPEMC admits a (k+1/2)-approximation algorithm.

For small values of k, say  $k \leq 6$ , the ratio (k + 1/2) is better than  $O(\log k)$  because of the constant hidden in the  $O(\cdot)$  term. And overall, our paper gives the currently best known ratios for all values  $k \geq 2$ .

In [5] it is proved that an  $\alpha$ -approximation for MPEMC implies an  $(\alpha + 4)$ approximation for MPkOS. The previous best ratio for MPkOS was  $O(\log n) + 4 = O(\log n)$  [5] for large values of  $k = \Omega(\log n)$ , and k + 1 for small values of k[9]. From Theorem 1 we obtain the following.

# **Theorem 3.** MPkOS admits an $O(\log k)$ -approximation algorithm.

In [2] it is proved that an  $\alpha$ -approximation for MPEMC and a  $\beta$ -approximation for Min-Cost k-Connected Subgraph implies a  $(\alpha + 2\beta)$ -approximation for MPkCS. Thus the previous best ratio for MPkCS was  $2\beta + O(\log n)$  [3], where  $\beta$  is the best ratio for MCkCS (for small values of k better ratios for MPkCS are given in [9]). The currently best known value of  $\beta$  is  $O\left(\log k \log \frac{n}{n-k}\right)$  [7], which is  $O(\log k)$ , unless k = n - o(n). From Theorem 1 we obtain the following.

**Theorem 4.** MPkCS admits an  $O(\beta + \log k)$ -approximation algorithm, where  $\beta$  is the best ratio for MCkCS. In particular, MPkCS admits an  $O\left(\log k \log \frac{n}{n-k}\right)$ -approximation algorithm.

# 1.3 Overview of the techniques

Let the *trivial solution* for MPEMC be obtained by picking for every node  $v \in V$  the cheapest r(v) edges incident to v. It is known and easy to see that this produces an edge set of power at most  $(k + 1) \cdot \text{opt}$ , see [2].

Our  $O(\log k)$ -approximation algorithm uses the following idea. Extending and generalizing an idea from [3], we show how to find an edge set  $I \subseteq E$  of power  $O(\mathsf{opt})$  such that for the residual instance, the trivial solution value is reduced by a constant fraction. We repeatedly find and add such an edge set I to the constructed solution, while updating the degree bounds accordingly to  $r(v) \leftarrow \max\{r(v) - d_I(v), 0\}$ . After  $O(\log k)$  steps, the trivial solution value is reduced to  $\mathsf{opt}$ , and the total power of the edges we picked is  $O(\log k) \cdot \mathsf{opt}$ . At this point we add to the constructed solution the trivial solution of the residual problem, which at this point has value  $\mathsf{opt}$ , obtaining an  $O(\log k)$ -approximate solution. Our algorithm for uniform costs has two phases. In the first phase we compute an optimal solution x to a certain LP-relaxation for the problem and round it to 1 with probability min{ $\rho \cdot x, 1$ }. In the second phase we add to the obtained partial solution the trivial solution to the residual problem.

Our (k+1/2)-approximation algorithm uses a two-stage reduction. The first reduction reduces MPEMC to a constrained version of MPEMC with k = 1, where we also have lower bounds  $\ell_v$  on the power of each node  $v \in V$ ; these lower bounds are determined by the trivial solution to the problem. We will show that a  $\rho$ -approximation algorithm to this constrained version implies a  $(k-1+\rho)$ -approximation algorithm for MPEMC. The second reduction reduces the constrained version to the Minimum-Cost Edge Cover problem with a loss of 3/2in the approximation ratio. As Minimum-Cost Edge Cover admits a polynomial time algorithm, we get a ratio  $\rho = 3/2$  for the constrained problem, which in turn gives the ratio  $k - 1 + \rho = k + 1/2$  for MPEMC.

# 2 Proof of Theorem 1

#### 2.1 Reduction to bipartite graphs

Let Bipartite MPEMC be the restriction of MPEMC to instances for which the input graph G = (V, E) is a bipartite graph with sides A, B, and with r(a) = 0 for every  $a \in A$  (so, only the nodes in B may have positive degree bound).

As in [3], we can reduce MPEMC to Bipartite MPEMC, by taking two copies  $A = \{a_v : v \in V\}$  and  $B = \{b_v : v \in V\}$  of V, for every edge  $e = uv \in E$  adding the two edges  $a_u b_v$  and  $a_v b_u$  of cost c(e) each, and for every  $v \in V$  setting  $r(b_v) = r(v)$  and  $r(a_v) = 0$ . It is proved in [3] that this reduction invokes a factor of 2 in the approximation ratio, namely, that a  $\rho$ -approximation for bipartite MPEMC implies a  $2\rho$ -approximation for general MPEMC.

In the case of uniform costs, we can save a factor of 2 using a different reduction.

**Proposition 1.** Ratio  $\rho$  for Bipartite MPEMC with unit costs implies ratio  $\rho$  for MPEMC with uniform costs.

*Proof.* Clearly, the case of uniform costs is equivalent to the case of unit costs. Now we show that for unit costs, MPEMC can be reduced to Bipartite MPEMC. Let G = (V, E), r be an instance of MPEMC with unit costs. If there is an edge  $e = uv \in E$  with  $r(u), r(v) \ge 1$  or with r(u) = r(v) = 0, then we can obtain an equivalent instance by removing e from G, and in the case  $r(u), r(v) \ge 1$  also decreasing each of r(u), r(v) by 1. Hence we may assume that every  $e \in E$  has one end in  $A = \{a \in V : r(a) = 0\}$  and the other end in  $B = \{b \in V : r(b) \ge 1\}$ . The statement follows.

# 2.2 An $O(\log k)$ -approximation algorithm for general costs

Let opt denote the optimal solution value of a problem instance at hand. For  $v \in V$ , let  $w_v$  be the cost of the r(v)-th least cost edge incident to v in E if

 $r(v) \ge 1$ , and  $w_v = 0$  otherwise. Given a partial solution J to Bipartite MPEMC let  $r_J(v) = \max\{r(v) - d_J(v), 0\}$  be the residual bound of v w.r.t. J. Let

$$R_J = \sum_{b \in B} w_b r_J(b) \; .$$

The main step in our algorithm is given in the following lemma, which will be proved later.

**Lemma 1.** There exists a polynomial time algorithm that given an edge set  $J \subseteq E$ , an integer  $\tau$ , and a parameter  $\gamma > 1$ , either correctly establishes that  $\tau < \text{opt}$ , or returns an edge set  $I \subseteq E \setminus J$  such that  $p_I(V) \leq (1 + \gamma)\tau$  and  $R_{J\cup I} \leq \theta R_J$ , where  $\theta = 1 - \left(1 - \frac{1}{\gamma}\right) \left(1 - \frac{1}{e}\right)$ .

**Lemma 2.** Let  $J \subseteq E$  and let  $F \subseteq E \setminus J$  be an edge set obtained by picking  $r_J(b)$  least cost edges in  $\delta_{E \setminus J}(b)$  for every  $b \in B$ . Then  $J \cup F$  is an r-edge-cover and:  $p_F(B) \leq \text{opt}, p_F(A) \leq R_J \leq k \cdot \text{opt}.$ 

*Proof.* Since F is an  $r_J$ -edge-cover,  $J \cup F$  is an r-edge-cover. By the definition of F, for any r-edge-cover I,  $p_F(b) \leq w_b \leq p_I(b)$  for all  $b \in B$ . In particular, if I is an optimal r-edge-cover, then

$$p_F(B) \le \sum_{b \in B} w_b \le \sum_{b \in B} p_I(b) = p_I(B) \le \text{opt}$$
 .

Also,

$$R_J = \sum_{b \in B} w_b r_J(b) \le k \cdot \sum_{b \in B} w_b \le k \cdot \mathsf{opt}$$
 .

Finally,  $p_F(A) \leq R_J$  since

$$p_F(A) = \sum_{a \in A} p_F(a) \le \sum_{a \in A} \sum_{e \in \delta_F(a)} c(e) = \sum_{e \in F} c(e) \le \sum_{b \in B} w_b r_J(b) = R_J$$
.

This concludes the proof of the lemma.

Theorem 1 is deduced from Lemmas 1 and 2 as follows. We set  $\gamma$  to be constant strictly greater than 1, say  $\gamma = 2$ . Then  $\theta = 1 - \frac{1}{2} \left(1 - \frac{1}{e}\right)$ . Using binary search, we find the least integer  $\tau$  such that the following procedure computes an edge set J satisfying  $R_J \leq \tau$ .

Initialization:  $J \leftarrow \emptyset$ .

*Loop:* Repeat  $\lceil \log_{1/\theta} k \rceil$  times:

Apply the algorithm from Lemma 2:

- If it establishes that  $\tau < \mathsf{opt}$  then return "ERROR" and STOP.
- Else do  $J \leftarrow J \cup I$ .

After computing J as above, we compute an edge set  $F \subseteq E \setminus J$  as in Lemma 2. The edge-set  $J \cup F$  is a feasible solution, by Lemma 2. We claim that

for any  $\tau \geq \text{opt}$  the above procedure returns an edge set J satisfying  $R_J \leq \tau$ ; thus binary search indeed applies. To see this, note that  $R_{\emptyset} \leq k \cdot \text{opt}$  and thus

$$R_J \leq R_{\emptyset} \cdot \theta^{|\log_{1/\theta} k|} \leq k \cdot \mathsf{opt} \cdot 1/k = \mathsf{opt} \leq \tau \;.$$

Consequently, the least integer  $\tau$  for which the above procedure does not return "ERROR" satisfies  $\tau \leq \text{opt.}$  Thus  $p_J(V) \leq \lceil \log_{1/\theta} k \rceil \cdot (1+\gamma) \cdot \tau = O(\log k) \cdot \text{opt.}$ Also, by Lemma 2,  $p_F(V) \leq \text{opt} + R_J \leq 2\text{opt.}$  Consequently,

$$p_{J\cup F}(V) \le p_J(V) + p_F(V) = O(\log k) \cdot \operatorname{opt} + 2\operatorname{opt} = O(\log k) \cdot \operatorname{opt}$$

In the rest of this section we prove Lemma 1. It is sufficient to prove the statement in the lemma for the residual instance  $((V, E \setminus J), r_J)$  with edgecosts restricted to  $E \setminus J$ ; namely, we may assume that  $J = \emptyset$ . Let  $R = R_{\emptyset} = \sum_{b \in B} w_b r(b)$ .

**Definition 3.** An edge  $e \in E$  incident to a node  $b \in B$  is  $\tau$ -cheap if  $c(e) \leq \frac{\tau\gamma}{R} \cdot w_b r(b)$ .

**Lemma 3.** Let F be an r-edge-cover, let  $\tau \ge p_F(B)$ , and let

$$I = \bigcup_{b \in B} \{ e \in \delta_E(b) : c(e) \le \frac{\tau \gamma}{R} \cdot w_b r(b) \}$$

be the set of  $\tau$ -cheap edges in E. Then  $R_{I\cap F} \leq R/\gamma$  and  $p_I(B) \leq \gamma \tau$ .

*Proof.* Let  $D = \{b \in B : \delta_{F \setminus I}(b) \neq \emptyset\}$ . Since for every  $b \in D$  there is an edge  $e \in F \setminus I$  incident to b with  $c(e) > \frac{\tau\gamma}{R} \cdot w_b r(b)$ , we have  $p_{F \setminus I}(b) \ge \frac{\tau\gamma}{R} \cdot w_b r(b)$  for every  $b \in D$ . Thus

$$\tau \ge p_F(B) \ge p_{F \setminus I}(B) = \sum_{b \in D} p_{F \setminus I}(b) \ge \tau \cdot \frac{\gamma}{R} \sum_{b \in D} w_b r(b) \; .$$

This implies  $\sum_{b \in D} w_b r(b) \leq R/\gamma$ . Note that for every  $b \in B \setminus D$ ,  $\delta_F(b) \subseteq \delta_I(b)$  and hence  $r_{I \cap F}(b) = r_F(b) = 0$ . Thus we obtain:

$$R_{I\cap F} = \sum_{b\in B} w_b r_{I\cap F}(b) = \sum_{b\in D} w_b r_{I\cap F}(b) \le \sum_{b\in D} w_b r(b) \le R/\gamma .$$

To see that  $p_I(B) \leq \gamma \tau$  note that

$$p_I(B) = \sum_{b \in B} p_I(b) \le \frac{\tau \gamma}{R} \sum_{b \in B} w_b r(b) = \frac{\tau \gamma}{R} \cdot R = \tau \gamma$$

This concludes the proof of the lemma.

In [3] it is proved that the following problem, which is a particular case of submodular function minimization subject to matroid and knapsack constraint (see [6]) admits a  $\left(1 - \frac{1}{e}\right)$ -approximation algorithm.

Bipartite Power-Budgeted Maximum Edge-Multi-Coverage (BPBMEM):

Instance: A bipartite graph  $G = (A \cup B, E)$  with edge-costs  $\{c(e) : e \in E\}$  and node-weights  $\{w_v : v \in B\}$ , degree bounds  $\{r(v) : v \in B\}$ , and a budget  $\tau$ .

*Objective:* Find  $I \subseteq E$  with  $p_I(A) \leq \tau$  that maximizes

$$\mathsf{val}(I) = \sum_{v \in B} w_v \cdot \min\{d_I(v), r(v)\}$$

The following algorithm computes an edge set as in Lemma 1.

- 1. Among the  $\tau$ -cheap edges, compute a  $\left(1-\frac{1}{e}\right)$ -approximate solution I to BPBMEM.
- 2. If  $R_I \leq \theta R$  then return *I*, where  $\theta = 1 \left(1 \frac{1}{\gamma}\right) \left(1 \frac{1}{e}\right);$ Else declare " $\tau < opt$ ".

Clearly,  $p_I(A) \leq \tau$ . By Lemma 3,  $p_I(B) \leq \gamma \tau$ . Thus  $p_I(V) \leq p_I(A) + p_I(B) \leq \tau$  $(1+\gamma)\tau$ .

Now we show that if  $\tau \geq \mathsf{opt}$  then  $R_I \leq \theta R$ . Let F be the set of cheap edges in some optimal solution. Then  $p_F(A) \leq \text{opt} \leq \tau$ . By Lemma 3  $R_F \leq R/\gamma$ , namely, F reduces R by at least  $R\left(1-\frac{1}{\gamma}\right)$ . Hence our  $\left(1-\frac{1}{e}\right)$ -approximate solution I to BPBMEM reduces R by at least  $R\left(1-\frac{1}{e}\right)\left(1-\frac{1}{\gamma}\right)$ . Consequently, we have  $R_I \leq R - R\left(1 - \frac{1}{e}\right)\left(1 - \frac{1}{\gamma}\right) = \theta R$ , as claimed.

The proof of Theorem 1 for the case of general costs is complete.

#### $\mathbf{2.3}$ A constant ratio approximation algorithm for unit costs

Bipartite MPEMC with unit costs is closely related to the Set-Multicover problem, that can be casted as follows.

## Set-Multicover

Instance: A bipartite graph  $G = (A \cup B, E)$  and demands  $\{r(b) : b \in B\}$ . Objective: Find a subgraph H of G with  $\deg_H(b) \ge r(b)$  for every  $b \in B$ ; minimize  $|H \cap A|$ .

In fact, it is easy to see that Bipartite MPEMC with unit costs is equivalent to the following modification of Set-Multicover, where instead of minimizing  $|H \cap A|$ we seek to minimize  $|H \cap A| + |B|$ ; namely, the problem we consider is as follows.

#### Set-Multicover+

Instance: A bipartite graph  $G = (A \cup B, E)$  and demands  $\{r(b) : b \in B\}$ . Objective: Find a subgraph H of G with  $\deg_H(b) \ge r(b)$  for every  $b \in B$ ;

minimize  $|H \cap A| + |B|$ .

Clearly, ratio  $\rho$  for Set-Multicover implies ratio  $\rho$  for Set-Multicover+. As Set-Multicover admits ratio H(|B|), so is Set-Multicover+. On the other hand,

Set-Multicover+ is APX-hard even for instances with  $\max_{a \in A} \deg_G(a) = 3$ , by a reduction from 3-Set-Cover. If A = O(|B|) then the problem is clearly approximable within a constant; but we may have |A| >> |B|, if  $k = \max_{b \in B} r(b)$  is large. We prove the following theorem that implies the second part of Theorem 1, and is also of independent interest.

**Theorem 5.** Set-Multicover+ admits a randomized approximation algorithm with expected approximation ratio  $\rho$ , where  $\rho < 2.16851$  is the real root of the qubic equation  $e(\rho - 1)^3 = 2\rho$ .

Let  $\Gamma(a)$  denote the set of neighbors of a in G. Consider the following LP-relaxation for both Set-Multicover and Set-Multicover+

$$\min\left\{\sum_{a\in A} x_a : \sum_{a\in\Gamma(b)} x_a \ge r(b) \ \forall b\in B, 0\le x_a\le 1 \ \forall a\in A\right\}.$$
 (1)

The value of a solution x to LP (1) is  $x(A) = \sum_{a \in A} x_a$  in the Set-Multicover case, and x(A) + |B| in the Set-Multicover+ case. Given a partial cover  $S \subseteq A$ , the residual demand of  $b \in B$  is  $r_S(b) = \max\{r(b) - |\Gamma(b) \cap S|, 0\}$ . Let  $\rho > 1$  be a parameter eventually set to be as in Theorem 5. Let  $\gamma = \gamma(\rho) = \frac{(\rho-1)^2}{2\rho}$ . Note that  $\gamma = 1$  if, and only if,  $\rho = 2 + \sqrt{3}$ , and that the value of  $\rho$  in Theorem 5 is less than  $2 + \sqrt{3}$ . Let x be a feasible solution to LP (1), and let  $S \subseteq A$  be obtained by choosing every  $a \in A$  with probability min $\{\rho \cdot x_a, 1\}$ .

**Lemma 4.** If  $x_a < 1/\rho$  for all  $a \in A$  then  $\Pr[r_S(b) \ge 1] \le e^{-\gamma \cdot r(b)}$  for every  $b \in B$ .

*Proof.* Let  $C(b) = \Gamma(b) \cap S$  be a random variable that counts the number of times b is "covered" by S. Clearly,  $r_S(b) \ge 1$  if, and only if, C(b) < r(b). The expectation of C(b) is  $\mu_b = \mathbb{E}[C(b)] = \sum_{a \in \Gamma(b)} \rho \cdot x_a \ge \rho \cdot r(b)$ . Since the nodes in  $\Gamma(b)$  are chosen independently, C(b) is a sum of independent Bernoulli random variables. The statement now follows by applying the Chernoff bound:

$$\Pr[C(b) < r(b)] = \Pr\left[C(b) < \left(1 - \frac{\rho - 1}{\rho}\right) \cdot \rho \cdot r(b)\right] \le$$
$$\le \Pr\left[C(b) < \left(1 - \frac{\rho - 1}{\rho}\right) \cdot \mu_b\right] \le$$
$$\le e^{-\frac{1}{2}\left(\frac{\rho - 1}{\rho}\right)^2 \mu_b} \le e^{-\gamma \cdot r(b)} .$$

**Corollary 1.**  $\mathbb{E}[r_S(B)] \leq f(\rho)|B|$ , where  $f(\rho) = \frac{1}{e\gamma}$  if  $1 < \rho \leq 2 + \sqrt{3}$ . and  $f(\rho) = e^{-\gamma}$  if  $\rho \geq 2 + \sqrt{3}$ .

*Proof.* Let  $S' = \{a : x_a \ge 1/\rho\}$ , let  $r'(b) = r_{S'}(b) = \max\{r(b) - |\Gamma(b) \cap S'|, 0\}$ , and let x' be defined by  $x'_a = 0$  if  $a \in S'$  and  $x'_a = x_a$  otherwise. Note that x' is a

feasible solution to LP (1) with the residual requirements r', and that  $x'_a < 1/\rho$ for all  $a \in A$ . Thus by Lemma 4 we have

$$\mathbb{E}\left[r'(B)\right] = \sum_{b \in B} \mathbb{E}\left[r'(b)\right] \le \sum_{b \in B} \Pr\left[r'(b) \ge 1\right] \cdot r'(b) \le \sum_{b \in B} e^{-\gamma \cdot r'(b)} \cdot r'(b) \ .$$

Let  $z = r'(b) \ge 1$  and  $f(z) = e^{-\gamma z} \cdot z$ . Then  $f'(z) = e^{-\gamma z}(1 - \gamma z)$ . Hence in the range  $z \ge 1$ , the function f(z) has maximum value:

- $\frac{1}{e\gamma}$  if  $\gamma \leq 1$  (namely, if  $1 < \rho \leq 2 + \sqrt{3}$ ), attained at  $z = 1/\gamma$ .  $e^{-\gamma}$  if  $\gamma \geq 1$  (namely, if  $\rho \geq 2 + \sqrt{3}$ ), attained at z = 1.

The statement follows.

Now we finish the proof of Theorem 5. The algorithm is as follows. We compute an optimal solution x to LP (1), and then an edge set S as in Corollary 1. For every  $b \in B$  let  $A_b$  be a set of  $r_S(b)$  neighbors in  $\Gamma(b) \setminus S$ , and let  $S' = \bigcup_{b \in B} A_b$ . The solution returned is  $S \cup S'$ . Note that  $\mathbb{E}[|S'|] \leq \mathbb{E}[r_S(B)]$ . Thus by Corollary 1, the expected size of our solution is bounded by

$$\mathbb{E}(|S|) + \mathbb{E}(r_S(B)) + |B| \le \rho x(A) + f(\rho)|B| + |B| \le \max\{\rho, f(\rho) + 1\}(x(A) + |B|) .$$

Consequently, as x(A) + |B| is a lower bound on the optimal solution value, the approximation ratio is bounded by  $\max\{\rho, f(\rho) + 1\}$ . Solving the equation  $\rho = f(\rho) + 1 \text{ for } f(\rho) = \frac{1}{e\gamma} = \frac{2\rho}{e(\rho-1)^2} \text{ gives the result.}$ 

The proof of Theorem 5, and thus also of Theorem 1 for the case of uniform cost, is complete.

#### Proof of Theorem 2 3

We say that an edge set  $F \subseteq E$  covers a node set  $U \subseteq V$ , or that F is a U-cover, if  $\delta_F(v) \neq \emptyset$  for every  $v \in U$ . Consider the following auxiliary problem:

Restricted Minimum-Power Edge-Cover

Instance: A graph G = (V, E) with edge-costs  $\{c(e) : e \in E\}, U \subseteq V$ , and degree bounds  $\{\ell_v : v \in U\}.$ 

Objective: Find a power assignment  $\{\pi(v) : v \in V\}$  that minimizes  $\sum_{v \in V} \pi(v)$ , such that  $\pi(v) \geq \ell_v$  for all  $v \in U$ , and such that the edge set  $F = \{e = uv \in E : \pi(u), \pi(v) \ge c(e)\} \text{ covers } U.$ 

Later, we will prove the following lemma.

Lemma 5. Restricted Minimum-Power Edge-Cover admits a 3/2-approximation algorithm.

Theorem 2 is deduced from Lemma 5 and the following statement.

Lemma 6. If Restricted Minimum-Power Edge-Cover admits a p-approximation algorithm, then Minimum-Power Edge-Multi-Cover admits a  $(k-1+\rho)$ -approximation algorithm.

*Proof.* Consider the following algorithm.

- 1. Let  $\pi(v)$  be the power assignment computed by the  $\rho$ -approximation algorithm for Restricted Minimum-Power Edge-Cover with  $U = \{v \in V : r(v) \ge 1\}$ and bounds  $\ell_v = w_v$  for all  $v \in U$ . Let  $F = \{e = uv \in E : \pi(u), \pi(v) \ge c(e)\}$ .
- 2. For every  $v \in V$  let  $I_v$  be the edge-set obtained by picking the least cost  $r_F(v)$  edges in  $\delta_{E\setminus F}(v)$  and let  $I = \bigcup_{v \in V} I_v$ .

Clearly,  $F \cup I$  is a feasible solution to Minimum-Power Edge-Multi-Cover. Let opt denote the optimal solution value for Minimum-Power Edge-Multi-Cover. In what follows note that  $\pi(V) \leq \rho \cdot \text{opt}$  and that  $\sum_{v \in V} w_v \leq \text{opt}$ .

We claim that

$$p_{I\cup F}(V) \le \pi(V) + (k-1) \cdot \mathsf{opt}$$

As  $\pi(V) \leq \rho \cdot \mathsf{opt}$ , this implies  $p_{I \cup F}(V) \leq (\rho + k - 1) \cdot \mathsf{opt}$ .

For  $v \in V$  let  $\Gamma_v$  be the set of neighbors of v in the graph  $(V, I_v)$ . The contribution of each edge set  $I_v$  to the total power is at most  $p_{I_v}(\Gamma_v) + p_{I_v}(v)$ . Note that  $\pi(v) \geq p_{I_v}(v)$  and  $\pi(v) \geq p_F(v)$  for every  $v \in V$ , hence  $p_{F \cup I_v}(v) \leq \pi(v)$ . This implies

$$p_{F \cup I}(V) \le \sum_{v \in V} (\pi(v) + p_{I_v}(\Gamma_v)) = \pi(V) + \sum_{v \in V} p_{I_v}(\Gamma_v)$$

Now observe that  $|\Gamma_v| = |I_v| = r_F(v) \le k - 1$  and that  $p_{I_v}(u) \le w_v$  for every  $u \in \Gamma_v$ . Thus

$$p_{I_v}(\Gamma_v) \le (k-1) \cdot w_v \quad \forall v \in V$$
.

Finally, using the fact that  $\sum_{v \in V} w_v \leq \mathsf{opt}$ , we obtain

$$p_{F \cup I}(V) \le \pi(V) + \sum_{v \in V} p_{I_v}(\Gamma_v) \le \pi(V) + (k-1) \sum_{v \in V} w_v \le \pi(V) + (k-1) \cdot \mathsf{opt} \ .$$

This finishes the proof of the lemma.

In the rest of this section we prove Lemma 5.

We reduce Restricted Minimum-Power Edge-Cover to the following problem that admits an exact polynomial time algorithm, c.f. [10].

#### Minimum-Cost Edge-Cover:

Instance: A multi-graph (possibly with loops) G = (U, E) with edge-costs  $\{c(e) : e \in E\}.$ 

*Objective:* Find a minimum cost edge-set  $F \subseteq E$  that covers U.

Our reduction is not approximation ratio preserving, but incurs a loss of 3/2 in the approximation ratio. That is, given an instance  $(G, c, U, \ell)$  of Restricted Minimum-Power Edge-Cover, we construct in polynomial time an instance (G', c') of Minimum-Cost Edge-Cover such that:

(i) For any U-cover I' in G' corresponds a feasible solution  $\pi$  to  $(G, c, U, \ell)$  with  $\pi(V) \leq c'(I')$ .

(ii)  $opt' \leq 3opt/2$ , where opt is an optimal solution value to Restricted Minimum-Power Edge-Cover and opt' is the minimum cost of a U-cover in G'.

Hence if I' is an optimal (min-cost) solution to (G', c'), then  $\pi(V) \leq c'(I') \leq 3opt/2$ .

Clearly, we may set  $\ell_v = 0$  for all  $v \in V \setminus U$ . For  $I \subseteq E$  let

$$D(I) = \sum_{v \in V} \max\{p_I(v) - \ell_v, 0\} .$$

Here is the construction of the instance (G', c'), where G' = (U, E') and E' consists of the following three types of edges, where for every edge  $e' \in E'$  corresponds a set  $I(e') \subseteq E$  of one edge or of two edges.

- 1. For every  $v \in U$ , E' has a loop-edge e' = vv with  $c'(vv) = \ell_v + D(\{vu\})$ where vu is an arbitrary chosen minimum cost edge in  $\delta_E(v)$ . Here  $I(e') = \{vu\}$ .
- 2. For every  $uv \in E$  such that  $u, v \in U$ , E' has an edge e' = uv with  $c'(uv) = \ell_u + \ell_v + D((\{uv\}))$ . Here  $I(e') = \{uv\}$ .
- 3. For every pair of edges  $ux, xv \in E$  such that  $c(ux) \ge c(xv)$ , E' has an edge e' = uv with  $c'(uv) = \ell_v + \ell_u + D(\{ux, xv\})$ . Here  $I(e') = \{ux, xv\}$ .

**Lemma 7.** Let  $I' \subseteq E'$  be a U-cover in G', let  $I = \bigcup_{e \in I'} I(e)$ , and let  $\pi$  be a power assignment defined on V by  $\pi(v) = \max\{p_I(v), \ell_v\}$ . Then  $\pi(V) \leq c'(I')$ , I is a U-cover in G, and  $\pi$  is a feasible solution to  $(G, c, U, \ell)$ .

*Proof.* We have that I is a U-cover in G, by the definition of I and since I(e') covers both endnodes of every  $e' \in E'$ . By the definition of  $\pi$ , we have that  $I \subseteq \{e = uv \in E : \pi(u), \pi(v) \ge c(e)\}$ . Hence  $\pi$  is a feasible solution to  $(G, c, U, \ell)$ , as claimed.

We prove that  $\pi(V) \leq c'(I')$ . For  $e' = uv \in E'$  let  $\ell(e') = \ell_v$  if e' is a type 1 edge, and  $\ell(e') = \ell_u + \ell_v$  otherwise. Note that  $\pi(v) = \max\{p_I(v), \ell(v)\} = \ell_v + \max\{p_I(v) - \ell(v), 0\}$ , hence

$$\pi(V) = \sum_{v \in U} \ell_v + \sum_{v \in V} \max\{p_I(v) - \ell(v), 0\} = \sum_{v \in U} \ell_v + D(I) .$$

By the definition of  $\ell(e')$  and since I' is a *U*-cover  $\sum_{v \in U} \ell_v \leq \sum_{e' \in I'} \ell(e')$ . Also,  $D(I) = D(\bigcup_{e' \in I'} I(e'))$ , by the definition of *I*. Thus we have

$$\sum_{v \in U} \ell_v + D(I) \le \sum_{e' \in I'} \ell(e') + D\left(\bigcup_{e' \in I'} I(e')\right) \ .$$

It is easy to see that

$$D\left(\cup_{e'\in I'}I(e')\right) \leq \sum_{e'\in I'}D(I(e')) \ .$$

Finally, note that  $\ell(e') + D(I(e')) = c'(e')$  for every  $e' \in I'$  (if e' is a type 1 edge, this follows from our assumption that  $\ell_v \ge \min\{c(e) : e \in \delta_E(v)\}$ ). Combining we get

$$\pi(V) = \sum_{v \in U} \ell_v + D(I) \leq \\ \leq \sum_{e' \in I'} \ell(e') + D(\bigcup_{e' \in I'} I(e')) \leq \\ \leq \sum_{e' \in I'} \ell(e') + \sum_{e' \in I'} D(I(e')) = \\ = \sum_{e' \in I'} (\ell(e') + D(I(e'))) = \\ = \sum_{e' \in I'} c'(e') = c'(I') .$$

**Lemma 8.** Let  $\{\pi(v) : v \in V\}$  be a feasible solution to an instance  $(G, c, U, \ell)$  of Restricted Minimum-Power Edge-Cover. Then there exists a U-cover I' in G' such that  $c'(I') \leq 3\pi(V)/2$ .

Proof. Let  $I \subseteq \{e = uv \in E : \pi(u), \pi(v) \ge c(e)\}$  be an inclusion minimal *U*cover. We may assume that  $\pi(v) = \max\{p_I(v), \ell_v\}$  for every  $v \in V$ . Since any inclusion minimal *U*-cover is a collection of node disjoint stars, it is sufficient to prove the statement for the case when *I* is a star. Then *I* has at most one node not in *U*, and if there is such a node, then it is the center of the star, if  $|I| \ge 2$ ; in the case *I* consists of a single edge *e*, then we define the center of *I* to be the endnode of *e* in  $V \setminus U$  if such exists, or an arbitrary endnode of *e* otherwise.

We define a U-cover I' in G', and show that

$$c'(I') \le \frac{3}{2} \sum_{v \in V} \max\{p_I(v), \ell_v\} = \frac{3}{2} \pi(V) .$$
<sup>(2)</sup>

Let  $v_0$  be the center of I and let  $\{v_i : 1 \le i \le d\}$  be the leaves of I ordered by descending order of costs  $c(v_0v_i) \ge c(v_0v_{i+1})$ . The *U*-cover  $I' \subseteq E'$  is defined as follows. We cover each pair  $v_{2i-1}, v_{2i}, i = 1, \ldots, \lfloor d/2 \rfloor$ , by a type 3 edge. This covers all the nodes except  $v_0$ , and maybe  $v_d$  if d is odd. We add an additional edge f of type 1 or 2, if there are nodes in  $U(v_0 \text{ and/or } v_d)$  that remain uncovered by the picked type 3 edges. Formally, we have the following 4 cases, see Figure 1.

- 1. d is even and  $v_0 \notin U$ , see Figure 1(a). Then U is covered by type 3 edges.
- 2. d is odd, and  $v_0 \notin U$ , see Figure 1(b). Then we add a type 1 edge f to cover  $v_d$ .
- 3. d is odd and  $v_0 \in U$ , see Figure 1(c). Then we add a type 2 edge f to cover  $v_0, v_d$ .

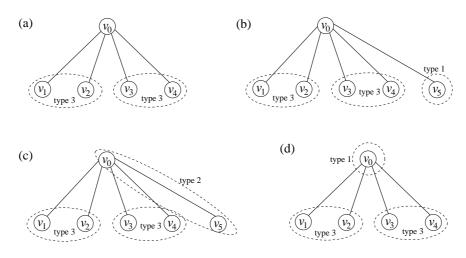


Fig. 1. Illustration to the definition of the U-cover I'.

4. d is even and  $v_0 \in U$ , see Figure 1(d). Then we add a type 1 edge f to cover  $v_0$ .

Consider a type 3 edge  $v_{2i-1}v_{2i} \in I'$ . Let  $q_i = \max\{c(v_{2i-1}v_0) - \ell_{v_0}, 0\}$ . Note that  $c'(v_{2i-1}v_{2i}) \leq \pi(v_{2i-1}) + \pi(v_{2i}) + q_i$ . The key point is that

$$q_i \leq \frac{1}{2}(\pi(v_{2i-3}) + \pi(v_{2i-2})) \quad i = 2, \dots, \lfloor d/2 \rfloor.$$

This is since  $q_i \leq c(v_0v_{2i-1}) \leq \frac{1}{2} (c(v_0v_{2i-3}) + c(v_0v_{2i-2}))$  while  $c(v_0v_j) \leq \pi(v_j)$ . Therefore,

$$\sum_{i=1}^{d/2} c'(v_{2i-1}v_{2i}) \le \sum_{i=1}^{d/2} [\pi(v_{2i-1}) + \pi(v_{2i}) + q_i] \le \sum_{i=1}^{2\lfloor d/2 \rfloor} \pi(v_i) + q_1 + \frac{1}{2} \sum_{i=1}^{d-2} \pi(v_i)$$

Now, we prove that (2) hold in each one of our four cases.

1.  $v_0 \notin U$  and d is even. Note that  $q_1 \leq c(v_0v_1) \leq \pi(v_0)$ . Then:

$$c'(I') = \sum_{i=1}^{d/2} c'(e_i) \le \frac{3}{2} \sum_{i=1}^d \pi(v_i) + q_1 \le \frac{3}{2} \sum_{i=1}^d \pi(v_i) + \pi(v_0) \le \frac{3}{2} \sum_{i=0}^d \pi(v_i)$$

2.  $v_0 \notin U$  and d is odd. In this case  $f = v_d v_d$  is a loop type 1 edge, so  $c'(f) \leq \pi(v_d) + \max(c(v_0 v_d) - \ell_{v_0}, 0)$ . This implies

$$q_1 + c'(f) \le c(v_0v_1) + c(v_0v_d) + \pi(v_d) \le \pi(v_0) + \frac{1}{2}[\pi(v_0) + \pi(v_d)] + \pi(v_d)$$
  
=  $\frac{3}{2}(\pi(v_0) + \pi(v_d))$ .

Thus

$$c'(I') = \sum_{i=1}^{d/2} c'(e_i) + c'(f) \le \frac{3}{2} \sum_{i=1}^{d-1} \pi(v_i) + c'(f) + q_1 \le \frac{3}{2} \sum_{i=0}^{d} \pi(v_i)$$

3.  $v_0 \in U$  and d is odd. In this case  $f = v_0 v_d$ , so  $c'(f) \leq \max(\ell_{v_0}, c(v_0 v_d)) + \pi(v_d)$ . This implies  $q_1 + c'(f) \leq c(v_0 v_1) + c(v_0 v_d) + \pi(v_d) \leq \frac{3}{2} (\pi(v_0) + \pi(v_d))$ . Thus

$$c'(I') = \sum_{i=1}^{d/2} c'(e_i) + c'(f) \le \frac{3}{2} \sum_{i=1}^{d-1} \pi(v_i) + c'(f) + q_1 \le \frac{3}{2} \sum_{i=0}^{d} \pi(v_i) .$$

4.  $v_0 \in U$  and *d* is even. In this case  $f = v_0 v_0$  is a loop type 1 edge, so  $c'(f) \leq \ell_{v_0} + c(v_0 v_d) \leq \ell_{v_0} + \frac{1}{2} (\pi(v_{d-1}) + \pi(v_d))$ . This implies  $q_1 + c'(f) \leq c(v_0 v_1) + \frac{1}{2} (\pi(v_{d-1}) + \pi(v_d))$ . Thus

$$c'(I') = \sum_{i=1}^{d/2} c'(e_i) + c'(f) \le \sum_{i=1}^d \pi(v_i) + \frac{1}{2} \sum_{i=1}^{d-2} \pi(v_i) + q_1 + c'(f)$$
$$\le \frac{3}{2} \sum_{i=1}^d \pi(v_i) + \pi(v_0) \le \sum_{i=0}^d \pi(v_i) .$$

This concludes the proof of the lemma.

As was mentioned, Lemmas 7 and 8 imply Lemma 5. Lemmas 5 and 6 imply Theorem 2, hence the proof of Theorem 2 is now complete.

# 4 Conclusions and open problems

The main results of this paper are two new approximation algorithm for MPEMC: one with ratio  $O(\log k)$  for general costs, and the other with constant ratio for uniform costs. This improves the ratio  $O(\log(nk)) = O(\log n)$  of [3]. We also gave a (k + 1/2)-approximation algorithm, which is better than our  $O(\log k)$ -approximation algorithm for small values of k (roughly  $k \leq 6$ ).

The main open problem is whether for general costs, the ratio  $O(\log k)$  shown in this paper is tight, or the problem admits a constant ratio approximation algorithm.

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